

AUDIT REPORTS: RESPONSES FOR 2008 / 2009

DEPARTMENT / SERVICE ACTIVITY	DATES			No. OF RECOMMENDATIONS		AUDIT OPINION	COMMENTARY
	DRAFT ISSUED	REMINDER ISSUED	FINAL AGREEMENT	MADE	NOT AGREED		
ASSISTANT CHIEF EXECUTIVE							
Investing in Communities	26-Jun-08	23-Jul-08	03-Nov-08	5	0	LIMITED	Reported to Audit Committee 02 Feb 2009
British Sugar S106 Agreement	28-Feb-08	-	18-Apr-08	16	0	SIGNIFICANT	Follow up finalised 30-Dec-08
Local Area Agreement: Grant 2007/2008	N/A	-	25-Jun-08	0	0	FULL	
ADULT SOCIAL CARE (PRIMARY CARE TRUST)							
PPCT Corporate Governance	26-Jun-08	07-Jul-08	16-Oct-08	3	0	SIGNIFICANT	

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CHILDRENS SERVICES							
FINANCIAL MANAGEMENT STANDARDS							
Werrington Primary FMSiS	24-Jan-08	7-Mar-08 25-Mar-08	18-Apr-08	8	0	SIGNIFICANT	Follow up finalised 17-Oct-08
Southfields Junior FMSiS	17-Apr-08	16-May-08 9-Jun-08	23-Jun-08	11	1	SIGNIFICANT	Finalised without response. Unsolicited progress update on recommendations later received. Finalised 10-Dec-08.
Thorpe Primary FMSiS	4-Mar-08	-	16-Apr-08	8	0	SIGNIFICANT	Follow up finalised 27-Nov-08
Gladstone Primary FMSiS	8-Apr-08	8-May-08	4-Jul-08	13	0	LIMITED	Reported to Committee: 1 Sept 2008 Follow up to be carried out in Q4.
Fulbridge Primary FMSiS	4-Mar-08	-	29-Apr-08	9	0	SIGNIFICANT	Follow up finalised 17.10.08
Eye C of E Primary FMSiS	8-Apr-08	2-May-08	14-May-08	12	0	SIGNIFICANT	
Queen's Drive Infants FMSiS	8-Apr-08	2-May-08	7-May-08	10	0	SIGNIFICANT	
William Law C of E Primary FMSiS	14-Mar-08	-	7-Apr-08	7	0	SIGNIFICANT	Follow up finalised 15-Oct-08
Brewster Avenue Infants FMSiS	9-Apr-08	2-May-08	7-May-08	4	0	SIGNIFICANT	
Welland Primary FMSiS	8-Apr-08	2-May-08 30-May-08	4-Jun-08	11	0	LIMITED	Reported to Committee: 1 Sept 2008
Gunthorpe Primary FMSiS	8-Apr-08	-	30-Apr-08	3	0	SIGNIFICANT	Follow up finalised 27-Nov-08
Ravensthorpe Primary FMSiS	8-Apr-08	2-May-08	13-May-08	5	0	SIGNIFICANT	
Braybrook Primary FMSiS	14-Apr-08	8-May-08	9-May-08	5	0	SIGNIFICANT	
Matley Primary FMSiS	21-Apr-08	14-May-08	28-May-08	3	0	SIGNIFICANT	
St Botolph's C of E Primary FMSiS	1-May-08	-	28-May-08	8	0	SIGNIFICANT	

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CHILDRENS SERVICES							
FINANCIAL MANAGEMENT STANDARDS	CONTINUED						
Leighton Primary FMSiS	9-May-08	9-Jun-08	1-Jul-08	10	0	LIMITED	Reported to Committee: 1 Sept 2008
Paston Ridings FMSiS	13-May-08	9-Jun-08	26-Jun-08	3	0	LIMITED	Reported to Committee: 1 Sept 2008
Caverstede Early Years Centre: Follow Up	-	-	24-Jul-08	1	0	No rating	Only one low priority recommendation.
St John Fisher: Compliance / Follow Up	23-Sep-08	16-Oct-08 4-Nov-08	-	14		NONE	Awaiting response. Additional time allowed for response due to difficulties within Children's Services and the school.
Eyrescroft FMSiS	14-Nov-08		10-Dec-08	9	0	SIGNIFICANT	First of the 08/09 schools to pass FMSiS
OTHER SCHOOL ACTIVITIES							
Orton Longueville: Cashless System	17-Jul-08	-	18-Aug-08	11	0	NONE	Reported to Committee: 3 November 2008
CENTRAL ACTIVITIES							
Surestart Follow up	N/A	N/A	15-Apr-08	N/A	N/A	LIMITED	Reported to Committee: 1 Sept 2008
Home to School Transport	6-Dec-07	-	2-Apr-08	7	0	SIGNIFICANT	Follow up undertaken
Educational Psychology Service	2-Apr-08	6-May-08 29-Jul-08	30-Jul-08	3	0	SIGNIFICANT	
SI Children's Services no.2 (Chi2340-02)	26-Sep-08	23-Oct-08	17-Nov-08	23	0	NONE	Reported to Audit Committee February 2009
Foster Care Overpayments	25-Sep-08	21-Oct-08	-	2		FULL	To be finalised
Private Fostering	-	-	-	0		No rating given	Insufficient evidence available to form a view. To be re-audited in 12 months time

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CENTRAL ACTIVITIES	CONTINUED						
Invoice Fraud	9-Jul-08	03-Sep-08 08-Sep-08 15-Sep-08	-	20		LIMITED	Responses now received, final to be issued.
PCAE follow up to 07/08 report	-	3-Jul-08		-	-	No rating given	Finalisation deferred until completion of Chi2410-03
SI Chi2410-03	27-Nov-08	-		8	0	NONE	Final report about to be issued
Budgetary Control (Children's Services)	16-Oct-08	-				SIGNIFICANT	Awaiting response
S.I. Children's Services no.2 (Chi2340-02)	31-Oct-08	-	7-Nov-08	8	0	LIMITED	Reported to Audit Committee 2 Feb 2009

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CITY SERVICES							
Budgetary Control (City Services)	9-Oct-08	-		12	-	LIMITED	Partial response received. A further reminder to be issued.
ENVIRONMENT & COMMUNITY SERVICES							
Museum	25-Mar-08	8-May-08	12-Jun-08	27	1	SIGNIFICANT	One recommendation rejected (obsolete due to forthcoming change in responsibilities)
Central Library	18-Sep-08	-	6-Nov-08	16	16	SIGNIFICANT	
SEN: Transport Tender	30-Jun-08	-	2-Jul-08	5	0	SIGNIFICANT	
Street Lighting	15-Oct-08	-		7		LIMITED	Meeting held and report in process of finalisation
STRATEGIC RESOURCES							
MAIN SYSTEMS							
Housing / Council Tax Benefits	27-Jun-08	7-Aug-08	9-Sep-08	9	3	SIGNIFICANT	All recommendations rated low. 2 withdrawn due to an error in the observation
Invoice to Cash / Invoice Billing	10-Apr-08	-	16-May-08	18	1	SIGNIFICANT	One recommendation rejected. Alternative solution proposed by client.
Payroll	9-May-08	-	10-Jun-08	5	0	LIMITED	Reported to Committee: 1 Sept 2008
Council Tax	16-Jul-08	7-Aug-08	27-Aug-08	9	0	SIGNIFICANT	
NNDR	27-Aug-08	-	26-Nov-08	12	3	SIGNIFICANT	Response to draft received 3-Oct-08 however some response queried. 1 Medium & 2 Low priority recommendations rejected.
Purchasing Cards	16-Oct-08	-		17		NONE	Discussions held, awaiting response
Bank Imprest Accounts	15-Oct-08	20-Nov-08		19		LIMITED	Meeting held. Report in process of being finalised.

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OTHER SYSTEMS							
Risk Management	8-May-08	-	20-May-08	10	0	SIGNIFICANT	
Treasury Management	23-May-08	-	10-Jul-08	4	0	SIGNIFICANT	
Financial Accounting	2-Jun-08	-	11-Jul-08	7	0	SIGNIFICANT	
Teachers Pensions: Longthorpe Primary	16-Sep-08	-	19-Dec-08	2	0	SIGNIFICANT	
Teachers Pensions: Nene Valley Primary	8-Sep-08	-	24-Sep-08	3	0	FULL	
Teachers Pensions: (Chi5470-11)	16-Sep-08	-	13-Oct-08	4	0	LIMITED	Reported to Audit Committee 2 Feb 2009
Teachers Pensions: Bushfield College	11-Sep-08	-	17-Sep-08	1	0	FULL / LIMITED	Full assurance was provided on the calculation and treatment of the information, however, a limited opinion was provided on a separate issue identified
Teachers Pensions: PCC Payroll	24-Sep-08	-	24-Oct-08	2	0	LIMITED	Reported to Audit Committee 2 Feb 2009
IT AUDIT							
PCI Standards	22-Apr-08	10-Jun-08 7-Jul-08 21-Jul-08	22-Jul-08	7	0	LIMITED	Reported to Committee: 1 Sept 2008
ICT Physical Access & Environmental Controls	22-Apr-08	10-Jun-08 7-Jul-08 21-Jul-08	22-Jul-08	35	0	SIGNIFICANT NONE	Four server rooms were given significant assurance 1 server room was given a no assurance rating Reported to Committee: 1 Sept 2008
ICT Disaster Recovery & Business Continuity	22-Apr-08	10-Jun-08 7-Jul-08 21-Jul-08	22-Jul-08	11	0	NONE	Reported to Committee: 1 Sept 2008
CORPORATE ACTIVITY							
No reports issued to date							

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